C04291-2021

# SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C

## CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)

Jun 23, 2021

2. SEC Identification Number

26986

3. BIR Tax Identification No.

000-846-618-000

4. Exact name of issuer as specified in its charter

D.M. WENCESLAO & ASSOCIATES, INCORPORATED

5. Province, country or other jurisdiction of incorporation

Philippines

- 6. Industry Classification Code(SEC Use Only)
- 7. Address of principal office

15th Floor, Aseana 3, D. Macapagal Blvd corner Asean Ave., Aseana City, Paranaque City

Postal Code

1714

8. Issuer's telephone number, including area code

(632) 8854-5711

9. Former name or former address, if changed since last report

Not Applicable

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

| Title of Each Class | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding |
|---------------------|---|
| Common Shares       | 3,395,864,100   |

11. Indicate the item numbers reported herein

Item 9

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



# D.M. Wenceslao & Associates, Incorporated DMW

PSE Disclosure Form 4-8 - Change in Directors and/or Officers (Resignation/Removal or Appointment/Election)
References: SRC Rule 17 (SEC Form 17-C) and
Section 4.4 of the Revised Disclosure Rules

| Subject of the | he Disclosure |
|----------------|---------------|
|----------------|---------------|

Appointment of Investor Relations Officer

#### Background/Description of the Disclosure

Please be informed that Mr. Jeffrey Lucero has been appointed by D.M. WENCESLAO ASSOCIATES, INCORPORATED (the "Company")'s Management as the new Investor Relations Officer of the Company, effective June 23, 2021.

Prior to joining the Company, Mr. Lucero worked an analyst for a local trust and asset management group and an analyst for a local stock brokerage firm. He was also part of the investor relations team of a Philippine-based conglomerate.

#### Resignation/Removal or Replacement

| Name of<br>Person | Position/Designation | Effective Date of Resignation/Cessation of term (mmm/dd/yyyy) | Reason(s) for<br>Resignation/Cessation |  |
|-------------------|----------------------|---|--|--|
| -                 | -                    | -   | -                                      |  |

### **Election or Appointment**

| Name of<br>Person | Position/Designation          | Date of Appointment/Election |               |        | dings in the<br>Company | Nature of Indirect<br>Ownership |  |
|-------------------|-------------------------------|------------------------------|---------------|--------|-------------------------|---------------------------------|--|
| 1 613011          |                               | (mmm/dd/yyyy)                | (mmm/dd/yyyy) | Direct | Indirect                | Ownership                       |  |
| Jeffrey<br>Lucero | Investor Relations<br>Officer | 06/23/2021                   | 06/23/2021    | -      | -                       | -                               |  |

#### **Promotion or Change in Designation**

| Name of Person | Position/Des | ignation | Approval Change |               | Shareholdings in the Listed Company |          | Nature of Indirect<br>Ownership |
|----------------|--------------|----------|-----------------|---------------|-------------------------------------|----------|---------------------------------|
| reison         | From         | То       | (mmm/dd/yyyy)   | (mmm/dd/yyyy) | Direct                              | Indirect | Ownership                       |
| -              | -            | -        | -               | -             | _                                   | -        | -                               |

#### **Other Relevant Information**

N/A

#### Filed on behalf by:

| <b>Designation</b> Chief Information Officer | Name        | Mark Gorriceta            |
|--|-------------|---------------------------|
|  | Designation | Chief Information Officer |